

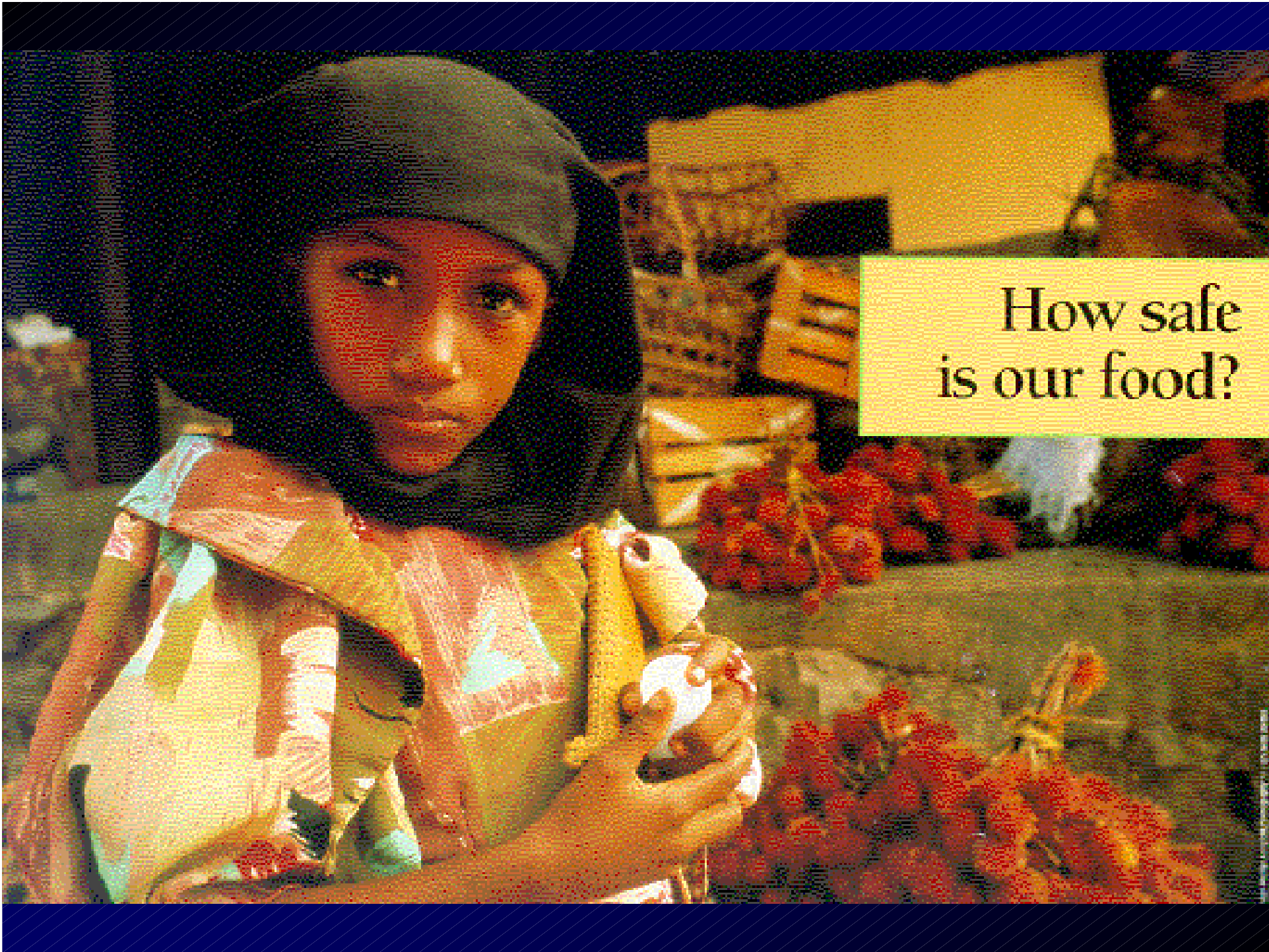


*Health Canada*

# What Is Risk Assessment ?

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Laboratory for Foodborne Zoonoses  
GUELPH ONTARIO



How safe  
is our food?

# **International Trade**

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- **World Trade Organization:  
“Agreement on Sanitary and  
Phytosanitary Measures”  
(SPS Agreement):**

- **Barriers to trade must be based on  
scientific evaluation of risk to human  
health...**

- **Implications for national regulatory  
standards**

# National Risk Management Policies:

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## Basic Assumptions:

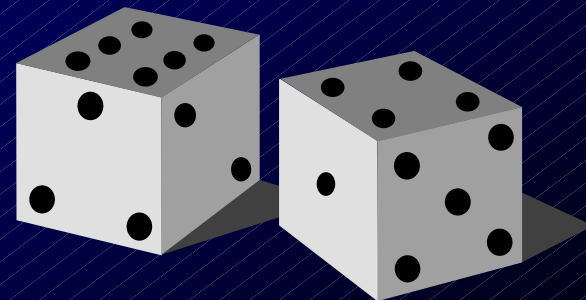
- The degree of “regulatory control” placed on a foodborne pathogen should be a function of its risk to public health



# Risk Assessment

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A systematic process to collect and evaluate relevant information about an issue, to estimate the *probability* **AND** *impact* of adverse outcomes, based on what we know now ....



# 3 Risk Questions

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- What can go wrong?
- How likely is that to happen?
- What would the consequences be?

*Kaplan & Garrick, 1981 Risk Anal. 1:11-27*

**Risk = f (hazard, likelihood, impact)**

# Risk Assessment Framework

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**Hazard Identification**



**Hazard Characterization  
[Dose-Response]**

**Exposure Assessment**



**Risk Characterization**

# Risk Assessment Framework

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## Hazard Identification

**IS THERE A  
PROBLEM ?**

**WHAT IS THE  
EVIDENCE?**

**Description of the  
hazard (agent in the  
food) and adverse  
effects**



# Risk Assessment Framework

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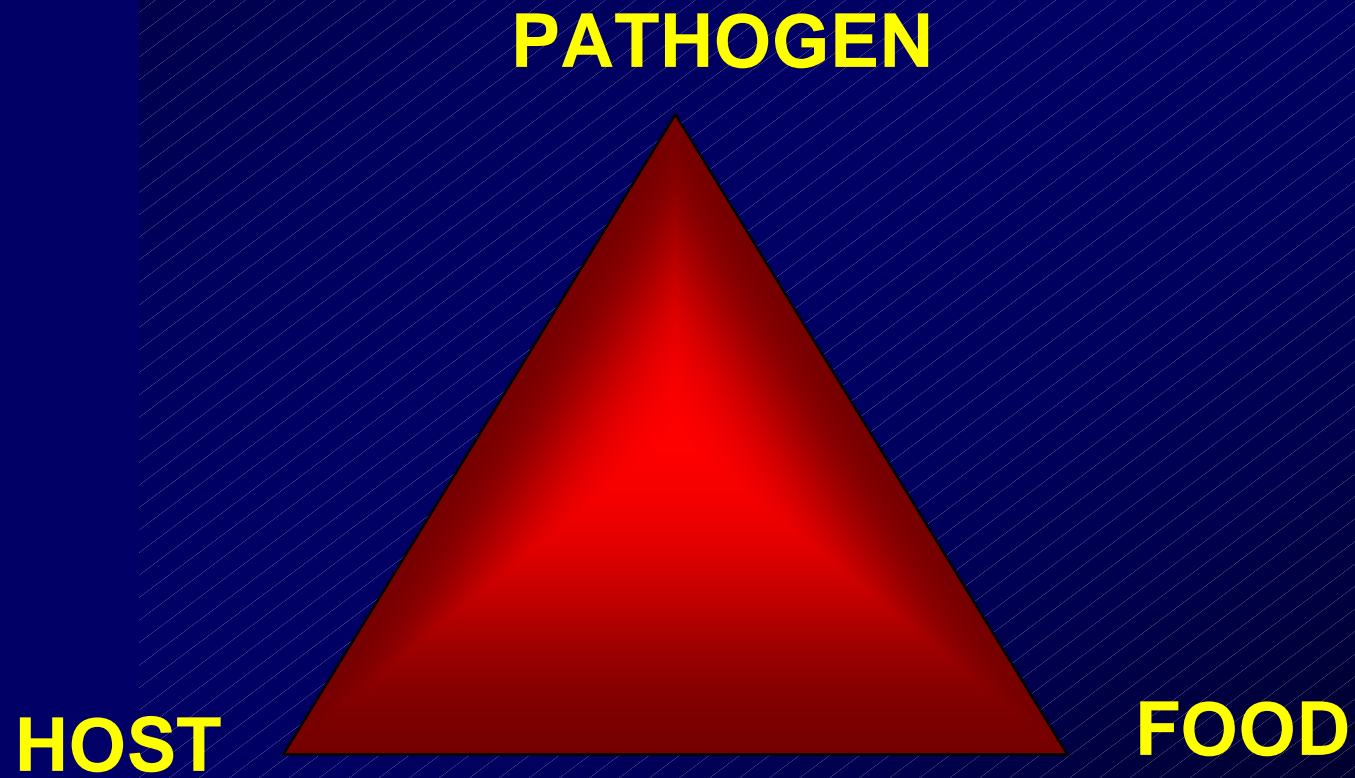
Hazard Characterization  
[Dose-Response]

How much of the pathogen will make you sick, and how sick will you be?

The *Dose-Response* assessment is a mathematical model which predicts the probability of an adverse effect from a given dose.

# Dose-Response Relationships

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# Risk Assessment Framework

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What is the **probability** of consuming contaminated food **AND** what are the likely numbers of a pathogen in the food ***at the time of consumption?***

**Exposure Assessment**

# Exposure Assessment

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- **Cannot measure exposure at time of consumption directly**
- **Therefore must consider:**
  - Sources, frequency & level of contamination
  - Factors affecting behaviour of pathogen
  - Distribution of food, potential for temperature abuse
  - Food preparation, consumption patterns

# Risk Assessment Framework

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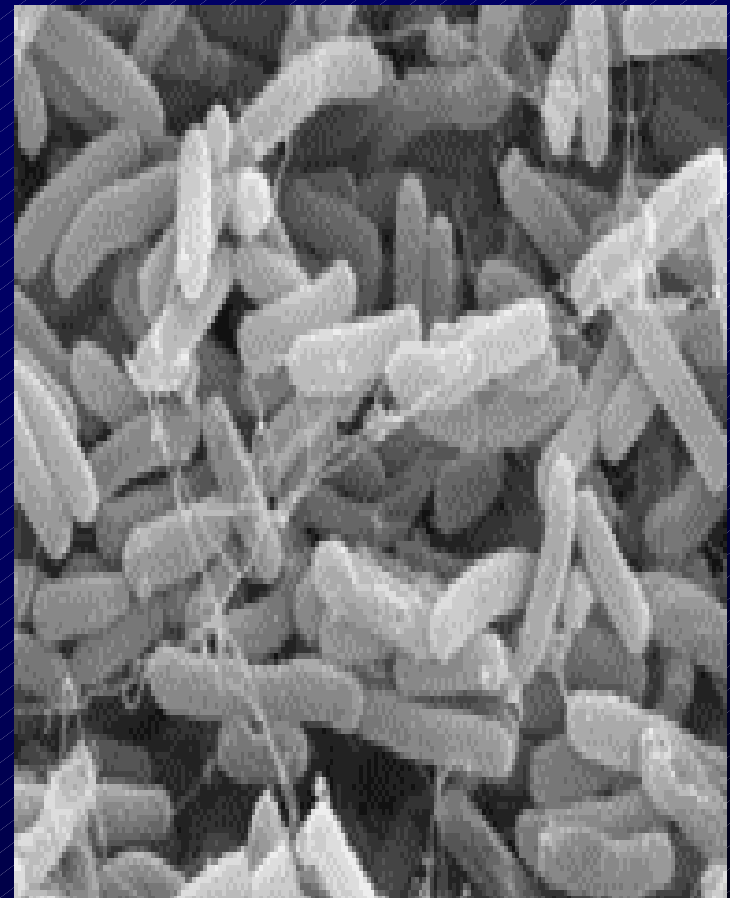
- Provides a **RISK ESTIMATE**
- What is the nature and likelihood of the health risk?
- **Who** and how many are likely to become ill?
- What are the sources of **variability** and **uncertainty** in the information used?

**Risk Characterization**

# Variability

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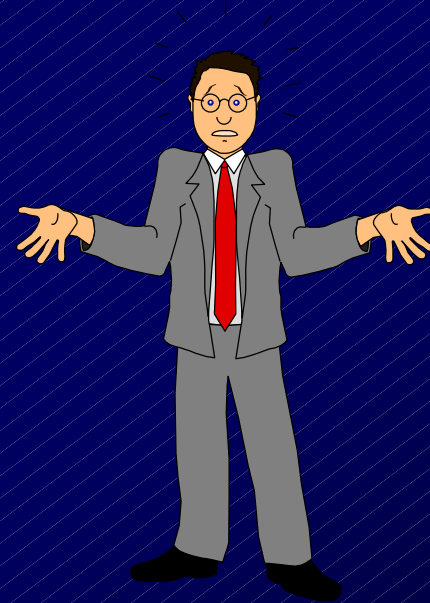
- A property of nature
- Diversity
- In a well-characterized population or parameter: defined by mean, standard deviation



# Uncertainty

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???



*Uncertainty* is our ignorance  
- lack of knowledge

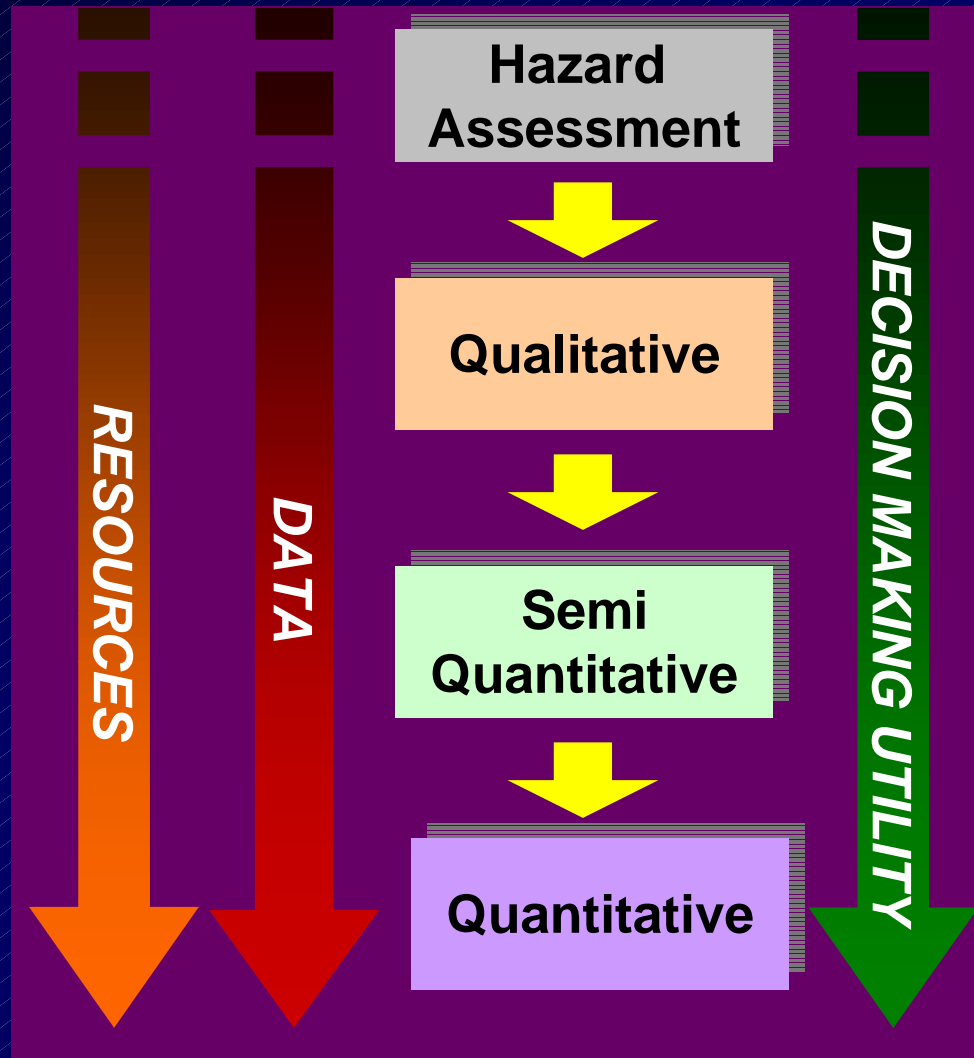
# Sources of Uncertainty

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- **Measurement Uncertainty**
- **Conditions of Observation**
- **Poor Understanding of System**



# Risk assessment approaches



# What is the right approach to use?

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- The “right” approach captures the essentials of the risk issue *to answer the risk management question*. Too much detail complicates, too little detail misses the essentials.

*“Things should be made as simple as possible, but no simpler”* (Einstein).

# Quantitative Risk Assessment

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- **Mathematical description of exposure, dose-response relationship**
- **Numerical risk estimate**
  
- **Point – Estimate**
- **Probabilistic (Stochastic)**

# Point-Estimate Assessment

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Uses averages or “worst-case” single numbers

Eg. Exposure inputs:

- 100 pathogen cells per gr food
- 2-log increase in cell numbers: storage
- 3-log reduction by cooking
- 50 gr food eaten

Ignores Variability and Uncertainty

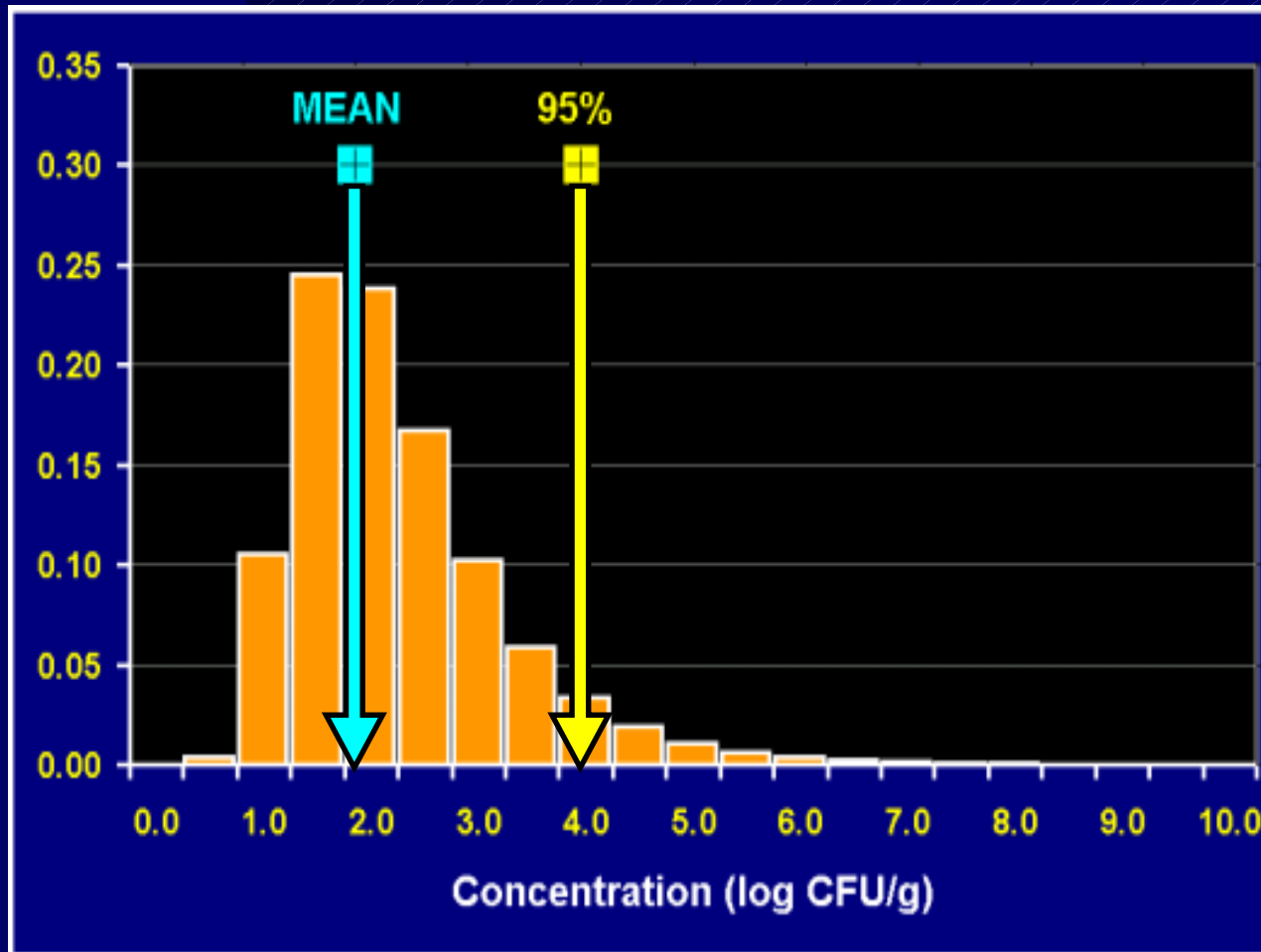
# Probabilistic Analysis

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- Uses entire distribution of data
- Evaluates almost all the possibilities
- Recognizes the variation that exists in the real world
- Allows the uncertainty associated with our knowledge of the real world to be accounted for

# Point Estimate vs. Probabilistic

Concentration of a pathogen in a food.



## POINT ESTIMATE

Mean = 2.0

95% = 4.0

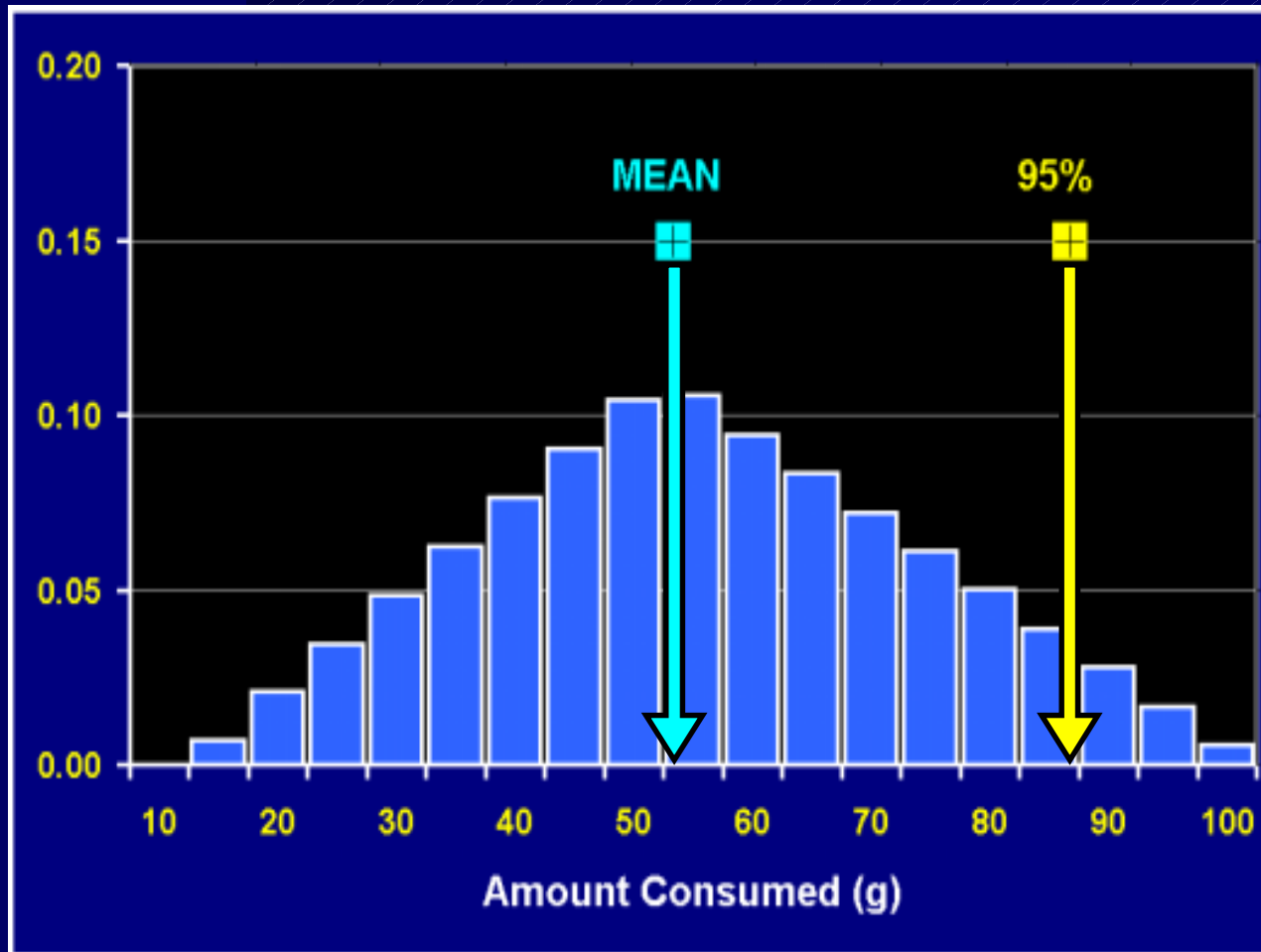
## DISTRIBUTION

Log-Normal

$\mu = 2.0$

$\sigma = 1.0$

# Point Estimate vs. Probabilistic: Amount of Food Eaten



## POINT ESTIMATE

Mean = 53.3  
95% = 85.0

## DISTRIBUTION Triangular

Min = 10  
Mode = 50  
Max = 100

# Risk Assessment Outputs

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- **Point-estimate: single values for risk estimate**

**E.g:**

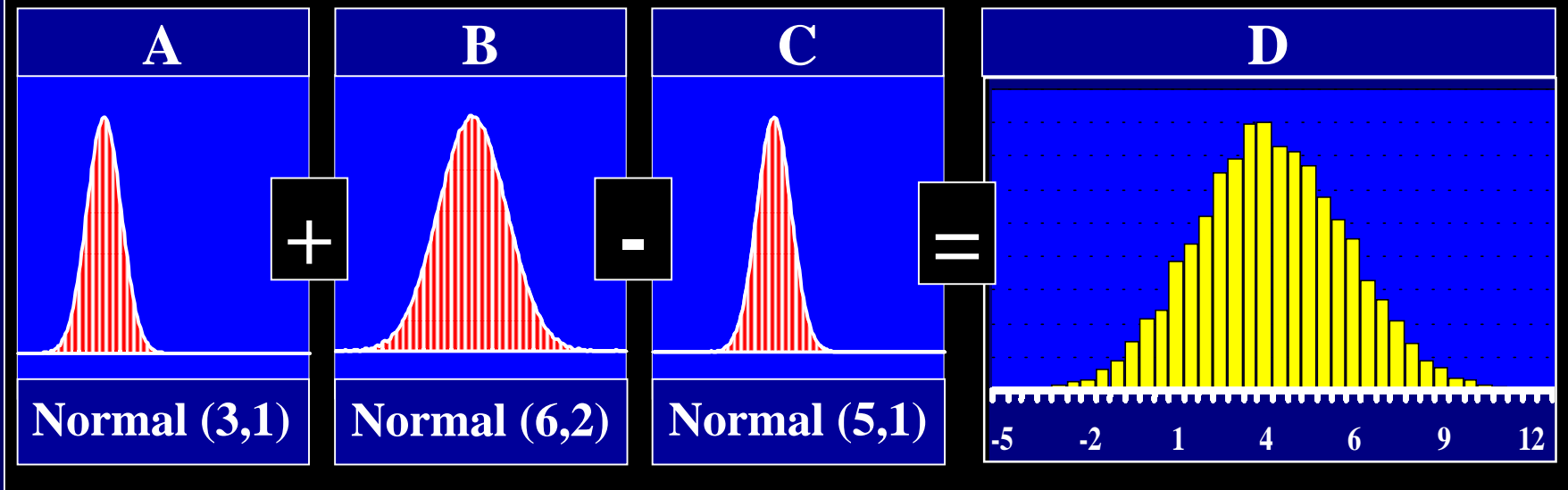
- **1-in-1 million likelihood of illness per meal**
- **Per year**
- **500 illnesses in a population per year**



# Probabilistic Calculations: Monte Carlo Simulation Techniques

- to accommodate variability and uncertainty in the input and output values

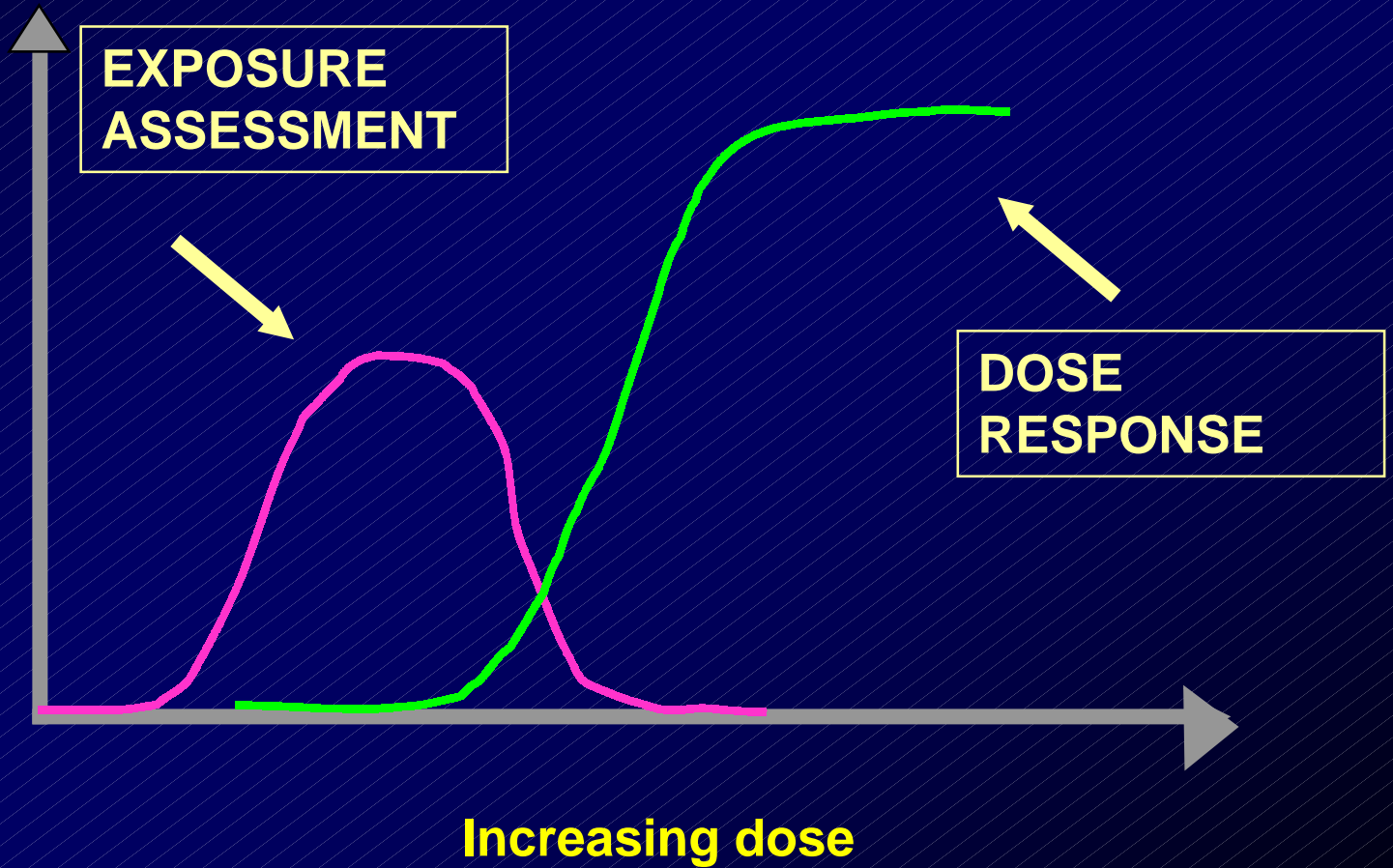
$$A + B - C = D$$



- Range of values for “D” and probability of occurring can be determined.

# Probabilistic Outputs

Increasing  
Probability



# Probabilistic Risk Estimate

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RISK  
CHARACTERIZATION

Increasing  
Probability



Increasing Risk

# Why do we do risk assessment?

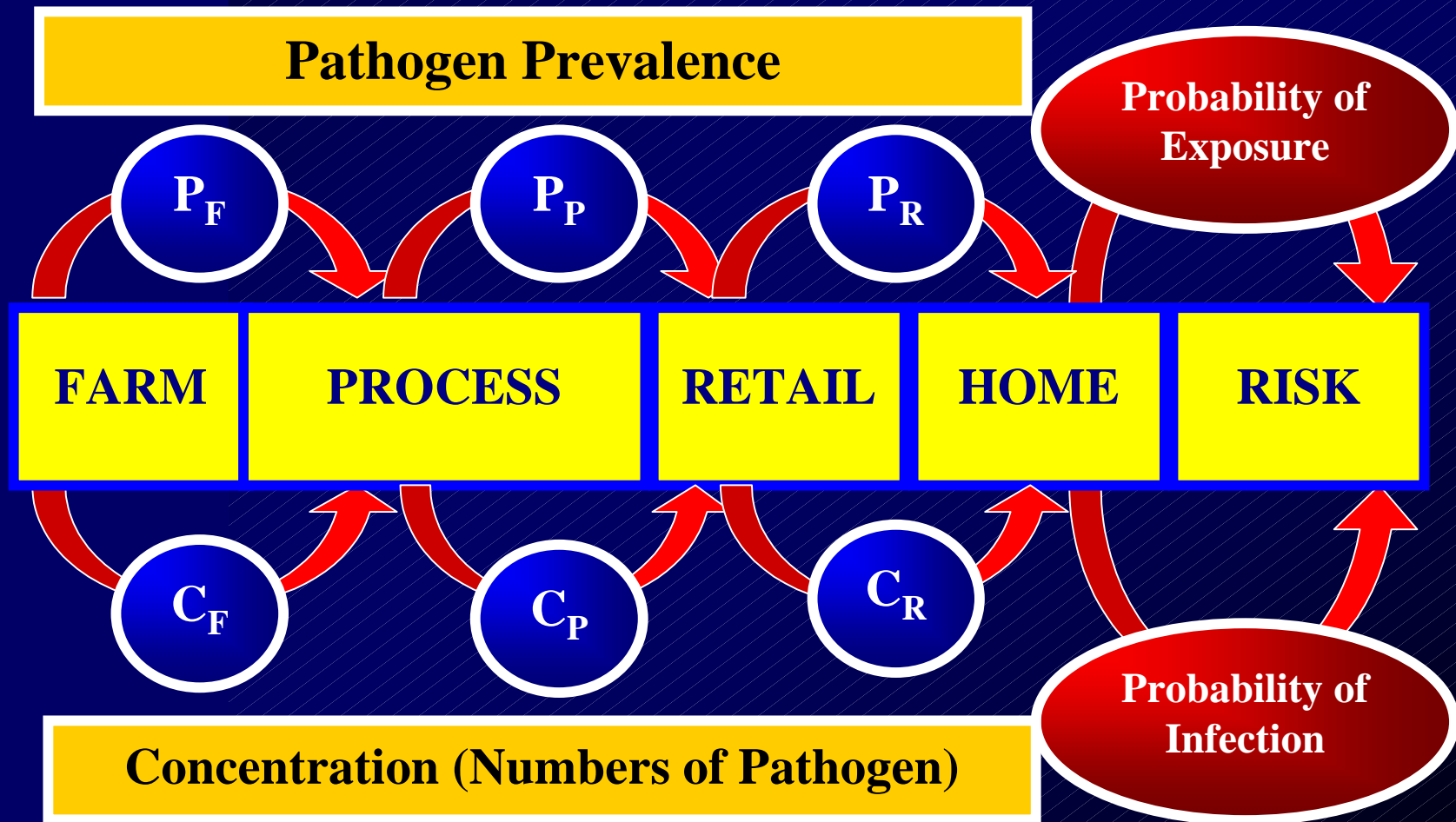
- To estimate the magnitude of the risk
  - Regulation
  - Acceptability
  - Priorities



*Added value:*

- To gain an understanding of the “system”
  - Identify effective interventions to reduce risk
  - Focus research directions to reduce uncertainty

# “Farm-to-Fork” Risk Assessment



# Modeling Approaches

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**“All models are wrong, some are useful”**

- **This quote captures the essence of why we model a system:**
  - **The intention is not to create a perfect and exact duplicate of reality**
  - **rather to create a tool that will provide insight into the system**

# Goals of Risk Management

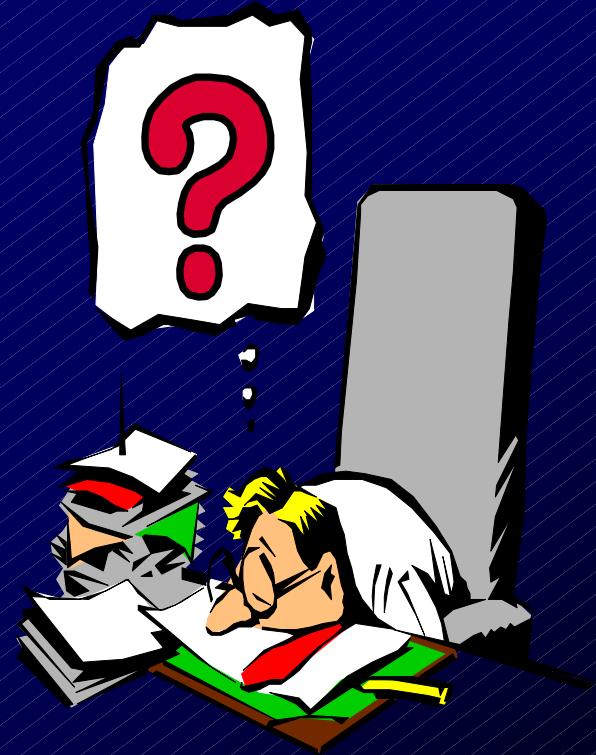
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- Not necessarily to eliminate risk
- Balance level of risk vs.
  - Cost of risk reduction
  - Competing risks
  - Benefits/risks of interventions
- Differentiate trivial, “tolerable” risk vs. significant, “non-tolerable” risk
- *Risk assessment provides a measure of how big (or how small) the risk*

# Microbiological Risks

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- Assessing and characterizing risks is different from setting “acceptable” or “tolerable” levels of risk
  - The latter is both a scientific and societal question





# Decision-making

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- Risk management decisions will be made with or without a risk assessment
- Risk assessment will hopefully add to more enlightened decisions
  - Separate facts, opinions, and perceptions

# Using a systematic process

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- The discipline of risk assessment assembles information on the determinants of disease into a single framework ...
- This includes biological determinants & their interaction with environmental and behavioural factors ...
  - Allows social and economic considerations to be factored into the decision-making framework

# Transparency

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- A **fundamental characteristic** of the risk analysis concept (risk assessment, risk management, risk communication)
- The data, logic of development, assumptions, limitations and uncertainties of the process are fully and systematically stated, documented and accessible for review.