



Est. 1970

CANADIAN INSTITUTE FOR ENVIRONMENTAL LAW AND POLICY

L'INSTITUT CANADIEN DU DROIT ET DE LA POLITIQUE DE L'ENVIRONNEMENT

Notes for Presentation to NABC 9:

Agricultural Biotechnology and Sustainable Development

**Mark Winfield, Ph.D.
Director of Research**

CIELAP Shelf:
Canadian Institute for Environmental Law and
Policy; Winfield, Mark
Notes for Presentation to NABC 9: Agricultural
Biotechnology and Sustainable Development

RN 27198

Canadian Institute for Environmental Law and Policy

Saskatoon, June 1, 1997



The Canadian Institute for Environmental Law and Policy

The Canadian Institute for Environmental Law and Policy (CIELAP) was founded in 1970 as the Canadian Environmental Law Research Foundation. It is an independent, not-for profit, environmental law and policy research and education organization. CIELAP has been involved extensively in environmental law and policy development related to biotechnology over the past 15 years. CIELAP organized the first conference in Canada on environmental law and policy issues regarding biotechnology in 1984, and has participated in a many consultations with Environment Canada, Health Canada, Agriculture and Agri-Food Canada, and the government of Ontario regarding biotechnology and the environment over the years.

The Institute has produced a number of major publications regarding biotechnology. These include a major overview study of environmental, social, economic and ethical issues related to biotechnology completed for the Ontario Ministry of Economic Development and Trade, in 1995. The Institute has also published a Citizen's Guide to Biotechnology, which has been well-received by a wide range of audiences.

May 1997

Agricultural Biotechnology and Sustainable Development

I. INTRODUCTION

The biotechnology industry and some governments, particularly those of Canada and the United States, argue that the development of agricultural biotechnology products is essential to meeting the food needs of a growing world population. Indeed, they often contend that we will face a serious crisis if these technologies are not widely adopted, permitting the more efficient production of food.

This perspective on the importance of agricultural biotechnology has been disputed from several of directions. Environmental and consumers' organizations, members of the farm and academic communities, and a number of governments from the developing world have been in the forefront of this challenge. Serious ethical concerns have been articulated in relation to many of the products which have been developed, especially in the area of animal husbandry. In addition, questions have been raised regarding the likely environmental and human health impacts of agricultural biotechnology products and, perhaps most significantly, regarding the value and the purpose of many of the applications of the technology which are emerging.

In particular, it is argued that the many of the applications of agricultural biotechnology technology which have been developed to date are unsupportive of environmentally sustainable agriculture. In fact, it is contended that, in some cases, they will actually undermine more ecologically sound agricultural practices. Furthermore, it is argued that the proponents of the global diffusion of agricultural biotechnology as a solution to the question of securing the world's food supply, are proposing a technological solution to a problem which is fundamentally social, economic and political, rather than technological, in nature.

This paper seeks to provide an overview of these critiques, and of their implications for public policy in Canada and the United States regarding biotechnology in general, and agricultural biotechnology in particular.

II. CONCERNS REGARDING BIOTECHNOLOGY AND THE ENVIRONMENT

The critique of the current trends in modern biotechnology is principally grounded on three elements. The first relates to the ethical and philosophical issues raised by modern biotechnology, particularly genetic engineering. The second arises from the potential direct environmental and human health impacts of applications of the technology. The third challenges the value and purpose of many of the applications of the technology which have emerged, particularly in the agricultural field.

1) Ethical/Philosophical Concerns

Public concerns regarding biotechnology arise from many sources. At most fundamental level, many individuals are disturbed by the notion of manipulation of the genetic material of other species, and particularly the movement of genetic material between species. They regard genetic engineering as being a qualitatively different technology from traditional plant breeding or animal husbandry techniques in this sense.

Many hold the species barrier to be a law of god or of nature, that species have an inherent integrity, and that the violation of this status is an act of extreme hubris on the part of human beings. Others question, in light of past experiences with eugenics programs and other efforts to "improve" humanity, whether human beings have the wisdom to make appropriate decisions with respect to a technology of this scope and power.¹ Questions of this nature were recently highlighted in the debates which occurred in the aftermath of the announcement of the successful cloning of a sheep named "Dolly" in the spring of 1997.²

In Canada and the United States these concerns have been compounded by what, until very recently, has been the absolute refusal of governments to address the ethical and social issues raised by biotechnology. At the same time, they have continued to heavily subsidize the development of the technology.³ This behaviour has been in sharp contrast to the approach taken by a number of Western European governments, which have facilitated societal debates around these issues, and demonstrated a willingness to act on the results of such discussions.⁴

The government of Canada formally acknowledged the significance of ethical and social issues related to biotechnology in its April 1997 response to a report of the House of Commons Standing Committee on the Environment and Sustainable Development on the Regulation of Biotechnology in Canada.⁵ The Standing Committee's recommendations had emphasized the need to deal with the ethical issues raised by modern biotechnology.⁶ The government's response also included a commitment to the establishment of an independent advisory commission to examine the societal and ethical issues raised by biotechnology. However, the membership, form and structure of the commission have yet to be established.

2) Immediate/Direct Environmental and Health Effects

The second source of concern regarding agricultural biotechnology products relates to their potential direct environmental and human health impacts. In fact, a report recently prepared for the OECD ranked the environmental impacts of the commercialization of biotechnology as one of the ten most important new environmental issues facing the world, along with such challenges as global warming and environmental terrorism.⁷

In the late 1980's ecologists and members of a number of other disciplines identified a range of potential negative effects arising from the release of genetically engineered organisms into the environment. These potential impacts included:

- * the creation of new pests, such as the escape of a transgenic salt tolerant rice from cultivated fields into estuaries;
- * the enhancement of the effects of existing pests or creation of new pests through hybridization or gene transfer to related plants or microorganisms;
- * the enhancement of the effects of existing pests as a result of the selective pressures provided by plants modified for pest resistance or intensified pesticide use arising in conjunction with the modification of plants for pesticide resistance;
- * infectivity, pathogenicity, toxicity or other harm to non-target species, including humans;
- * disruptive effects on biotic communities, resulting in the elimination of wild or desirable natural species through competition or interference;
- * adverse effects on ecosystem processes and functions, such as nutrient cycling;
- * incomplete degradation of hazardous chemicals by microorganisms employed in such applications as bioremediation, and waste water treatment, leading to the production of even more toxic by-products.⁸

In addition, concerns were raised regarding the more general risk of reducing biological diversity in any given ecosystem as a result of the introduction of products of biotechnology.⁹ Such risks were explicitly recognized in the 1992 *United Nations Convention on Biological Diversity*.¹⁰ At a more fundamental level, it has also been pointed out that biotechnology can threaten biodiversity through its implicit drive to breed uniformity in plants and animals, furthering and encouraging monocultures.

It is important to note that these environmental and health risks are not limited to the introduction of genetically engineered or modified organisms. Naturally occurring organisms can behave as "exotic" species when introduced into ecosystems of which they are not native inhabitants as well. In addition, the introduction of a naturally occurring species into a natural habitat can have disruptive effects if the species is introduced in very high concentrations or quantities. It also has been argued that certain naturally occurring species of microorganisms that have potential to be used in bioremediation and other applications may be opportunistic human pathogens.¹¹

Methods for predicting the consequences of the deliberate introduction of new life forms into the environment are still very much under development. The state of science to assess ecological impacts continues to lag far behind development of new products of biotechnology. This has been largely a consequence of public policy decisions regarding the funding of research in universities and governments, particularly the introduction and expansion of requirements for "partnerships" with the private sector by university researchers.¹² This problem has been particularly acute in Canada, and has resulted in the virtual absence of any research independent of industry support on the

ecological impacts of biotechnology products, particularly in the agricultural field.

What science has emerged with respect to the potential environmental impacts of the introduction of products of biotechnology appears to confirm the validity of many of the concerns which had been theorized earlier. Recent findings have included the following:

- * that the long term persistence of recombinant organisms and their genetic material in the environment can be expected;¹³
- * that the commercialization of genetically engineered plants will allow transgenes coding for beneficial traits to be transferred to wild or weedy populations of these plants or their close relatives;¹⁴
- * that the emergence of resistant pest populations in response to the commercialization of pesticidal plants is likely; and ¹⁵
- * that transgenic foods may producing allergic reactions;¹⁶

More broadly, there are concerns regarding the highly reductionist nature of the current approaches to the environmental assessment of products of biotechnology. In particular, questions have been raised regarding the failure to place products in appropriate ecological contexts for assessment,¹⁷ the failure to consider cumulative effects of commercial scale production,¹⁸ and the failure to assess products as elements of the systems of which they are integral parts (e.g. herbicide resistant crops and herbicide use).¹⁹ There are also concerns in Canada regarding the failure of the regulatory system to consider adequately the issue of occupational exposure to biotechnology products.²⁰

Despite the growing evidence that significant environmental problems can be expected as a result of the commercialization of agricultural biotechnology products, , the government of Canada has failed to establish any significant long-term programs to monitor and assess the environmental effects of the commercialization of genetically modified crops. Nor are any records are being kept regarding the extent or location of the use of such crops, or the extent of the introduction of genetically modified products into the food system. These weaknesses have recently been highlighted in the government of Canada's suspension of the registration of a variety of herbicide tolerant canola in the spring of 1997.²¹

3) Concerns over the Value and Purpose of the Emerging Applications of Biotechnology

The third, and most fundamental aspect of the critique of agricultural biotechnology

challenges the value and purpose of many of the applications of the technology which are emerging. Industry and government sponsors of the technology claim that it is essential to addressing the problem of securing an adequate food supply for a growing world population. It is argued that the technology will make agriculture more efficient, and thereby allow more people to be fed with fewer resources.²²

This argument is open to challenge for a number of reasons. At the most basic level it appears to be founded on an extremely poor, and highly simplified understanding current global food supply and population issues. The challenges which humanity faces in these areas are fundamentally of a social, economic or political nature. The absence of particular technologies is, at best, only a small part of the overall problem.

Past experience has demonstrated that efforts to address complex social, political and economic issues of this nature through technological fixes almost invariably fail. The introduced technologies tend only to deal with the symptoms of much deeper societal problems. They do not, and indeed, cannot, address their social, economic or political causes. If fact, if the introduction of new technologies is not dealt with in a culturally and socially appropriate manner, the result is frequently a deepening of the original problems.

In addition, many of the leading applications of agricultural biotechnology which are emerging are simply not relevant to the challenges facing the world's food supply, particularly in the developing south. This is made particularly clear by an examination of the two leading applications of the technology to crops in North America, the introduction of herbicide tolerance, and the introduction of insect resistance through the addition of bt toxin genes.²³

The primary motivation for the development of herbicide tolerant crops has been to secure market share for herbicide manufacturers, not the promotion of more environmentally sustainable agriculture. This has been made clear in public statements by the firms which developed of the technology.²⁴ Furthermore, it has been argued that this application of biotechnology fails to recognize the causes of problems such as increased weed resistance to herbicides. These include inappropriate cropping patterns which promote weed populations. It is also argued that herbicide resistant crops will entrench the dependence of agricultural production on external, capital and energy intensive chemical inputs, further narrow the genetic base employed for agricultural purposes, and increase farmers' dependence on specific agricultural supply firms.²⁵ In the longer term, the selective pressure of more intensive herbicide use may lead to the emergence of even more resistant pests.²⁶ A better approach might be to emphasize the development of alternatives to chemical pesticides for the control of agricultural pests.²⁷

The modification of crops for stress resistance may, under certain circumstances, have the potential to expand food production. However, it may lead to serious problems as well. It was pointed out early in the development of genetically engineered crops,

increased resistance to stress could lead to issues of invasiveness. Crops modified to produce bt toxin demonstrate another problem related specifically to the introduction of resistance to pests.

It has been claimed that the introduction of pesticidal plants will reduce requirements for the use of chemical pesticides.²⁸ However, serious concerns have been raised that the widespread exposure of insects to high doses of bt toxin will result in the rapid emergence of bt resistant pest populations. This will not only render the bt crops themselves useless, but may also result in the loss of bt as an effective biological pest control agent in general. Such an outcome could hardly be described as being supportive of ecologically sustainable agriculture.²⁹

In general, the applications of agricultural biotechnology which have emerged to date have been closely integrated with conventional, capital intensive agricultural practices employed in North America and Western Europe. Such practices are simply not a viable option for farmers in the developing world, who lack access to the capital necessary to employ them. Indeed, their introduction in the south has been associated with the displacement of smaller scale producers supplying the local food market, by large scale producers growing largely for export to northern markets. Such trends do little to improve food security in the south. Additional concerns have been raised in the developing world regarding the economic impact of the use of agricultural biotechnology products in the north to replace commodities which have traditionally been grown in the south.³⁰

More broadly, the applications of biotechnology which have emerged in the agricultural field do little to address the fundamental questions of the environmental sustainability which have been raised regarding conventional agricultural practices. Rather they seem designed to reinforce, and further entrench such practices. Conventional practices have been widely criticized as being inconsistent with the principles of sustainable development, in that they rely on increasing inputs of capital and energy intensive products, such as pesticides, fertilizers and mechanical equipment, to maintain productivity in the face of a declining ecological capital base of soil, genetic material and water, and are themselves associated with major environmental externalities.³¹

Despite the significance of such questions about the value and purpose of many of the applications of agricultural biotechnology, one of the central features of the Canadian and U.S. federal governments' approach agricultural biotechnology products has been their refusal to address such issues. Rather, regulatory systems have been focussed narrowly on the direct effects of the introduction of genetically engineered plants, microorganisms and other products of modern biotechnology into the environment. Issues related to the long-term effects or desirability of the technology have been determined to be outside of the scope of the regulatory system, and indeed, beyond the legitimate scope of public policy debate.³²

III. CONCLUSIONS

Agricultural applications of modern biotechnology, particularly genetic engineering, raise major ethical and social issues. North American governments are only beginning to acknowledge the significance of these issues, but have failed to address them in any meaningful way. This is despite the considerations that there is no evidence of any public consensus in favour of the adoption of these technologies, and that the level of public discomfort is likely to grow as more products enter the marketplace.³³

The science regarding the ecological effects of agricultural biotechnology products remains under development. However, recent findings seem to confirm many of the problems which were theorized in the past. This should be a signal for caution. Despite this, governments continue to grant approvals for commercialization, and are making no provisions for the monitoring of environmental effects. Serious questions must be raised in particular about bt crops and other pesticidal plants.

Finally, the emerging applications of biotechnology in the field of agriculture appear to have little or nothing to do with the establishment of more ecologically sustainable agriculture and food systems in North America or elsewhere in the world. In fact, many of the emerging applications seem likely entrench environmentally unsustainable practices more deeply. Many of the emerging applications are simply irrelevant to global food concerns. They are being proposed as technological fixes to what are fundamentally social, economic and political problems.

The development of agricultural biotechnology in North America has been supported by the expenditure of large sums of public funds. The public is therefore entitled to a voice in decisions about the acceptability of these technologies, and the value of further public investments in them. In Western Europe governments have been engaging their publics in meaningful dialogues on the implications of biotechnology for their societies, and appear to be prepared to act on the results. It is time for North American governments to do the same.

Endnotes

1. See, for example, H. Jonas, "Biological Engineering - A Preview" in H. Jonas, Philosophical Essays: From Ancient Creed to Technological Man(Chicago: University of Chicago Press, 1974).
2. See, for example, S.Strauss, "Hellow Dolly, It's so scary to seek you," The Globe and Mail, March 1, 1997.
3. Estimates of federal government expenditures on the development of biotechnology in Canada over the past decade exceed \$1 billion (B.Mausberg and M.Press-Merkur, The Citizen's Guide to Biotechnology (Toronto: Canadian Institute for Environmental Law and Policy, 1995) The U.S. Department of Agriculture expenditures on agricultural biotechnology last year were stated to be US\$140 million (Dr. Ed Kaleikau, U.S. Department of Agriculture, remarks to "Risk Assessment at the Crossroads" conference, Ottawa, June 24, 1996).
4. R.E. Sclove, "Town Meetings on Technology," Technology Review, July 1996.
5. Government Response to the Report of the House of Commons Standing Committee on Environment and Sustainable Development: The Regulation of Biotechnology in Canada (Ottawa: Government of Canada, April 1997).
6. House of Commons Standing Committee on Environment and Sustainable Development, The Regulation of Biotechnology in Canada: A Matter of Public Confidence (Ottawa: House of Commons, November 1996), Recommendation 6.
7. Research and Environment: Priority and Emerging Issues/International perspective survey directed to the Scientific Community (Paris: Centre de recherche pour l'etude et l'observation des Conditions de Vie, March 1997).
8. J.M. Tiedje, R.K. Colwell, Y.L. Grossman, R.E. Hodson, R.E.Lenki, R.N. Mack, and P.J. Regal, "The Planned Introduction of Genetically Engineered Organisms: Ecological Considerations and Recommendations," Ecology 1989, Vol. 20, No. 2 p. 301.

See also E.Smit, J.D. van Elsas, and J.A. van Veen, "Risks Associated with the Application of genetically modified microorganisms in terrestrial ecosystems," FEMS Microbiology Reviews 88 (1992), 263-278, and;

M.Mellon and J.Rissler, Perils Amid the Promise: The Ecological Risks of Transgenic Crops on a Global Market (Washington, D.C.: Union of Concerned Scientists, 1994).

- ⁹. D. Pimentel, M.S. Hunter, J.A. LaGro, R.A. Efroymson, J.C. Landers, F.T. Mervis, C.A. McCarthy, and; A.E. Boyd. "Benefits and Risks of Genetic Engineering in Agriculture", Bioscience (1989), Vol.39, No.9, pp.606-614, at 609.
- ¹⁰. *United Nations Convention on Biological Diversity*, Article 8(g).
- ¹¹. Ernst and Young and Bio-Industry Council, A Brief Examination of the Bioremediation Industry, (Ottawa: Industry and Environment Canada, 1994) p.38.
- ¹². In Canada, for example, the federal government will spend more than \$100 million this year on Biotechnology. The government is seeking to focus on the support of "partnerships" which lead to the "rapid development of commercial products." Ray Klicious, Environment Canada, remarks to 8th Symposium on Environmental Releases of Biotechnology Products: Risk Assessment Methods and Research Progress, Ottawa, Canada, June 23, 1996.
- ¹³. See, for example, Eric Triplett, "Overview of Risk Assessment Dimensions/R&D Activities for Recombinant Microorganisms" presented at "Risk Assessment at the Crossroads," Ottawa, June 23, 1996.
- ¹⁴. Warren E. Leary, "Gene Inserted in Crop Plant Is Shown to Spread to Wild," The New York Times National, March 3, 1996. See also Rogers, H.J., and H.C. parkes, "Transgenic Plants and the Environment," Journal of Experimental Biology 46:467-488 and Brown, J., "Gene Transfer from Genetically Engineered Canola" presented to "Risk Assessment at the Crossroads," Ottawa, June 25, 1996.
- ¹⁵. J. Bergelson and J. Winterer, "Predicting the Spread of Insect Resistance: A Tool to Evaluate Ecological Risk," presented to "Risk Assessment at the Crossroads," June 24, 1996. See also F. Gould, "Evolutionary Potential of Crop Pests," American Scientist, 79:496-507.
- ¹⁶. Warran E. Leary, "Genetic Engineering of Crops Can Spread Allergies, Study Shows," the New York Times National, March 14, 1996. See also J.A. Nordless, S.L. Taylor, J.A. Townsend, L.A. Thomas, and R. K. Bush, "Identification of a Brazil-Nut Allergen in Transgenic Soybeans," In The New England Journal of Medicine, March 14, 1996.
- ¹⁷. See generally J.Rissler and M. Mellon, Perils Amid the Promise: Ecological Risks of Transgenic Crops in a Global Market (Cambridge, M.A.: Union of Concerned Scientists, 1993).

18. See generally, Alison A. Snow and Pedro Moran Palma, "Commercial Cultivation of Transgenic Plants: Potential Ecological Risks" (Unpublished manuscript, USEPA contract #4B1153NTEX June 1996).
19. Rissler and Mellon, Perils Amid the Promise.
20. B. Kohler, "Biotechnology Discussion Paper" (Ottawa: Communications, Energy and Paperworkers Union of Canada, January 1995).
21. T.Tjaden, "Canola is first gene suspension for government," The Western Producer, April 24, 1997.
22. J. Magretta, "Growth through Global Sustainability," Harvard Business Review, January/February 1997).
23. Biotechnology Strategies and Coordination Office, Status of Plant with Novel Trait Approvals For: Novel Food Use; Novel Feed Use; Environmental Release; and Variety Registration (Ottawa: Agriculture and Agri-Food Canada home page, 1997).
24. D.Westell, "Canola genes altered for profit," The Globe and Mail, April 6, 1995.
25. R.Goldburg, J. Rissler, H. Shand, C. Cassebrook, Biotechnology's Bitter Harvest: Herbicide Tolerant Crops and the Threat to Sustainable Agriculture (Washington D.C.: Environmental Defense Fund, National Wildlife Federation, Rural Advancement Fund International, and Centre for Rural Affairs, 1990).
26. F. Gould, "The evolutionary potential of crop pests," American Scientist 79:496-507, (1991).
27. See generally, Committee on Alternative Farming Methods in Modern Production Agriculture, National Research Council, Alternative Agriculture (Washington, D.C.: National Academy Press, 1989), pp.208-224.
28. Magretta, "Growth Through Global Sustainability."
29. For a detailed summary of this issue see Union of Concerned Scientists, The Gene Exchange, Vol.6, No. 2&3, pg.1.
30. See, for example, Tewolde B.G. Egziabher and Zemedede Awfaw, (Environmental Protection Authority, Ethiopia) "Socio-Economic Considerations including Issues of Liability and Compensation," paper presented at the International Academy of the Environment workshop on *Transboundary Movement of Living Modified*

Organisms Resulting from Modern Biotechnology: issues and opportunities for policy makers, July 19-20, 1996, Aarhus, Denmark.

- ³¹. See generally, M.Winfield and J.Rabantek, Environmentally Sustainable Agriculture in Canada: An Assessment of Critical Needs (Toronto: CIELAP, 1995).
- ³². Government of Canada, Government Response to the Report of the Standing Committee on Agriculture and Agri-Food: rBST in Canada (Ottawa: August 1994).
- ³³. For a detailed overview of public attitudes towards biotechnology in Canada see Optima Consultants, The Consumer Interest in the New Biotechnology (Ottawa: Industry Canada, November 1994).

